

Universidá d'Uviéu



# Muon identification techniques in the CMS experiment

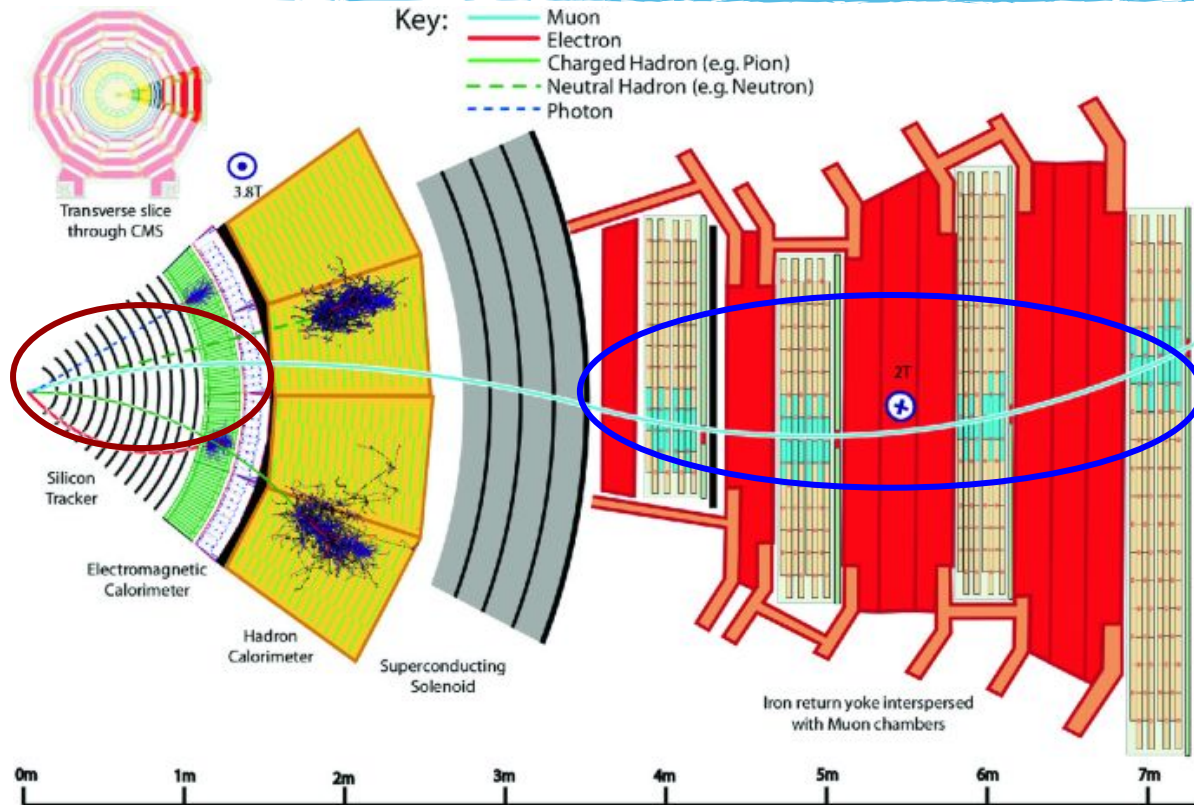
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07/05/2024

Jornadas del ICTEA

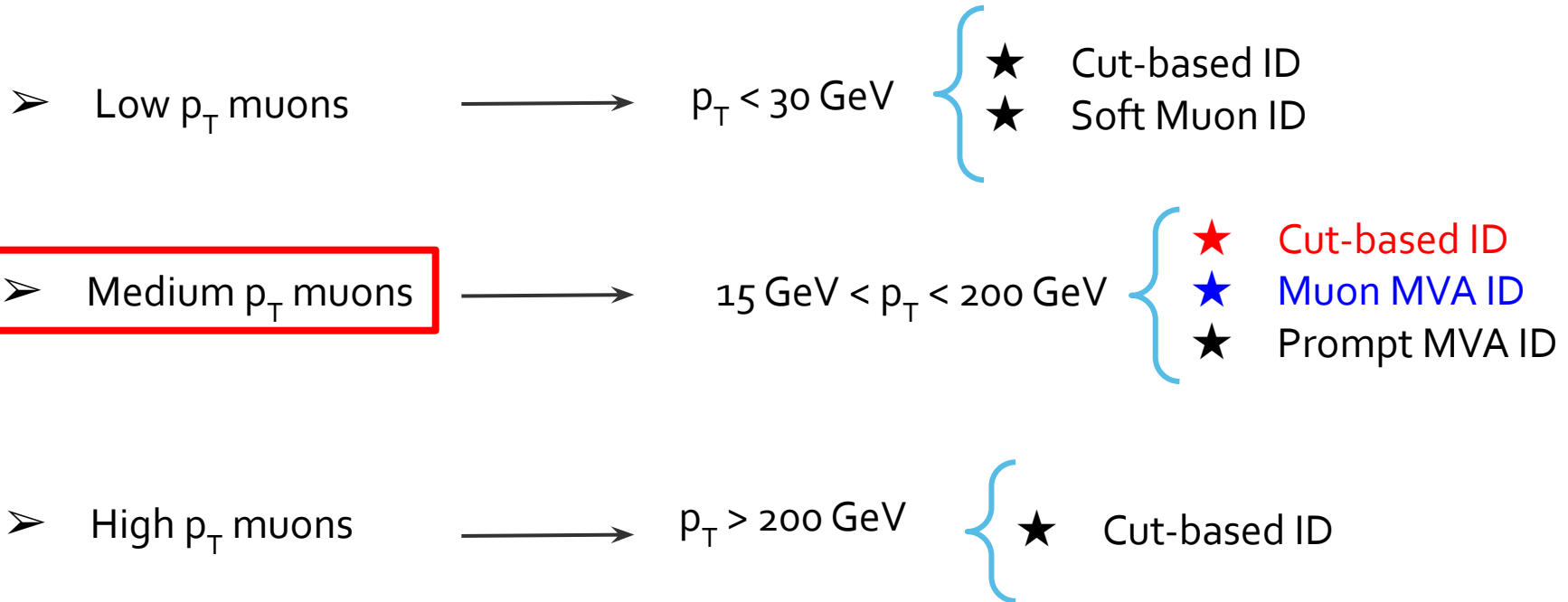
# Introduction



- ❖ Types of muons:
  - Tracker muons
  - Standalone muons
  - Global muons

# Introduction: Types of muons studied

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# Identification of medium $p_T$ range muons

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- The identification (ID) of medium  $p_T$  range muons has an efficiency larger than 90 % in general:
  - **Cut-based ID** → Defined as cuts over different variables.
  - **Muon MVA ID** ([MUO-22-001](#)) → Machine Learning applied to almost the same variables.
- During Run 2, the used ID was the cut-based ID with good performance.
- We show the efficiencies of both the Muon MVA ID and cut-based ID focusing on the MVA ID.

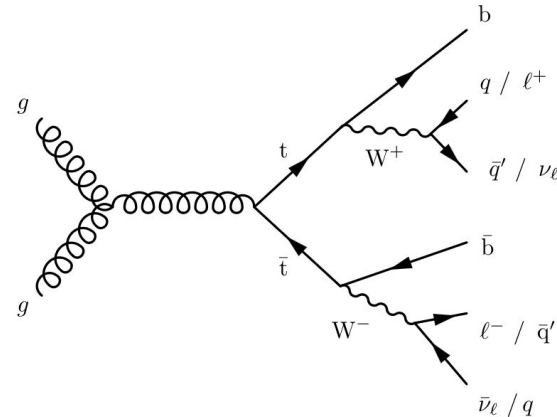
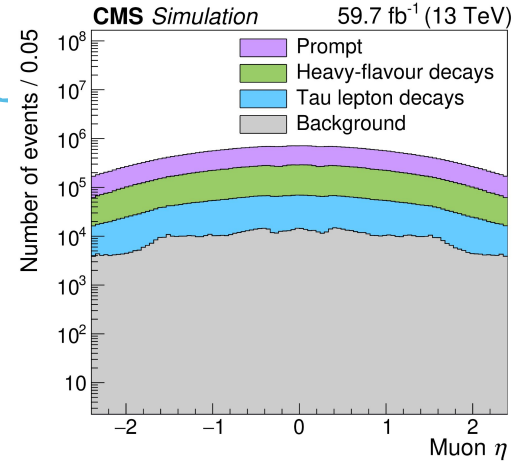
Muon MVA ID

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# Muon MVA ID: training

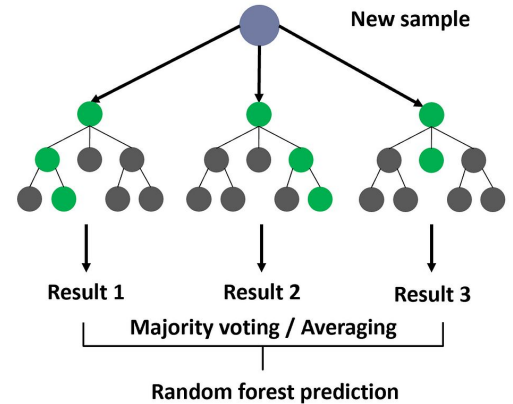
- Used 12 input variables to train the MVA (the same of the cut-based ID definition except IP parameters).
- The training sample was semileptonic  $t\bar{t}$  to get variate muons depending on the provenance.
  - Signal muons:** from bosons, tau, B, B decaying in C, and C hadrons.
  - Bkg muons:** Decays from light hadrons (kaons, pions...), not matched and punchthrough.

Class imbalance  $\rightarrow$   
98 % of muons are signal.



# Muon MVA ID: training

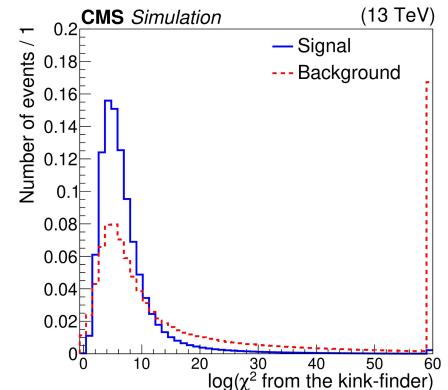
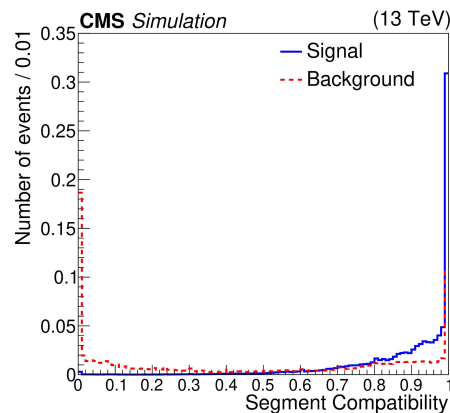
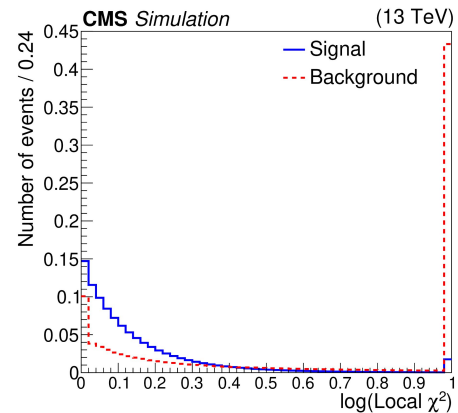
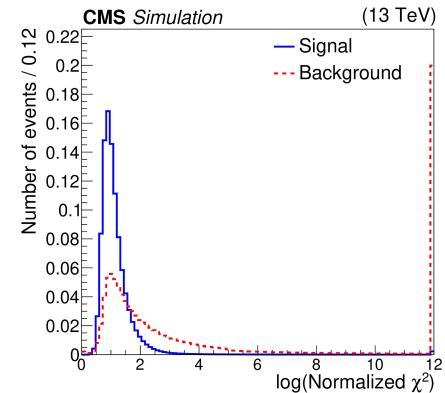
- The algorithm trained was a **random forest**.
  - Tested other algorithms.
  - 200 trees with a maximum depth of 8.
- Training: 60 % and test: 40 %.
- Validation with another dataset.



# Muon MVA ID: training variables

Most relevant

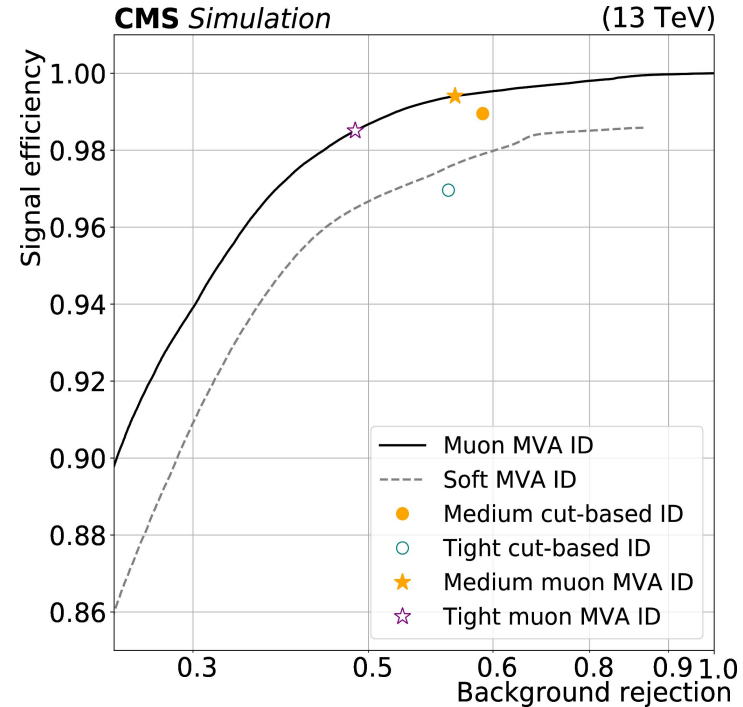
- 12 input variables (definition of cut-based ID)
  - Normalized  $\chi^2$  of the muon track fit
  - Local  $\chi^2$  (inner-SA track)
  - Segment compatibility
  - $\chi^2$  from the kink-finder algorithm on the inner track
- Number of matched stations with hits
- Fraction of valid tracker hits
- Number of valid pixel hits
- Number of tracker layers with hits
- Number of valid hits
- Is Global muon
- $p_T$  and  $\eta$  from the muons





# Muon MVA ID: Working points

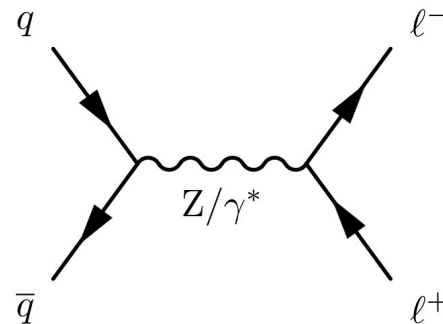
- Defined two WP:
  - **Medium WP**  $\rightarrow >0.08$  (same background rejection as cut-based)
  - **Tight WP**  $\rightarrow >0.20$  (maximize the rejection)
- Efficiency computed with the Tag and Probe (TnP) method.
- Performance tested in 2018 and 2022 data and simulation.



# Calculation of efficiency

TnP method:

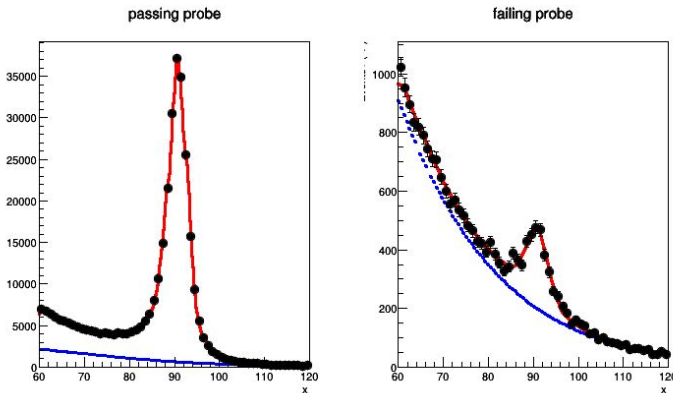
- Tag muon  $\rightarrow$  pass tight ID and trigger (HLT).
- Probe muon  $\rightarrow$  pass loose ID.



\* fit status pass: 4, fail : 0

\* **eff = 0.9879  $\pm$  0.0007**

--- parameters  
 - acmsP = 60.990  $\pm$  10.835  
 - betaP = 0.033  $\pm$  0.011  
 - gammaP = 0.061  $\pm$  0.008  
 - meanP = 0.373  $\pm$  0.006  
 - nBkgP = 49966.819  $\pm$  654.176  
 - nSigP = 306111.708  $\pm$  827.092  
 - sigmaP = 0.500  $\pm$  0.001  
 - acmsF = 171.198  $\pm$  121.943  
 - betaF = 0.011  $\pm$  0.002  
 - gammaF = 0.081  $\pm$  0.019  
 - meanF = 0.628  $\pm$  0.134  
 - nBkgF = 17617.830  $\pm$  259.308  
 - nSigF = 3740.200  $\pm$  230.994  
 - sigmaF = 1.073  $\pm$  0.420

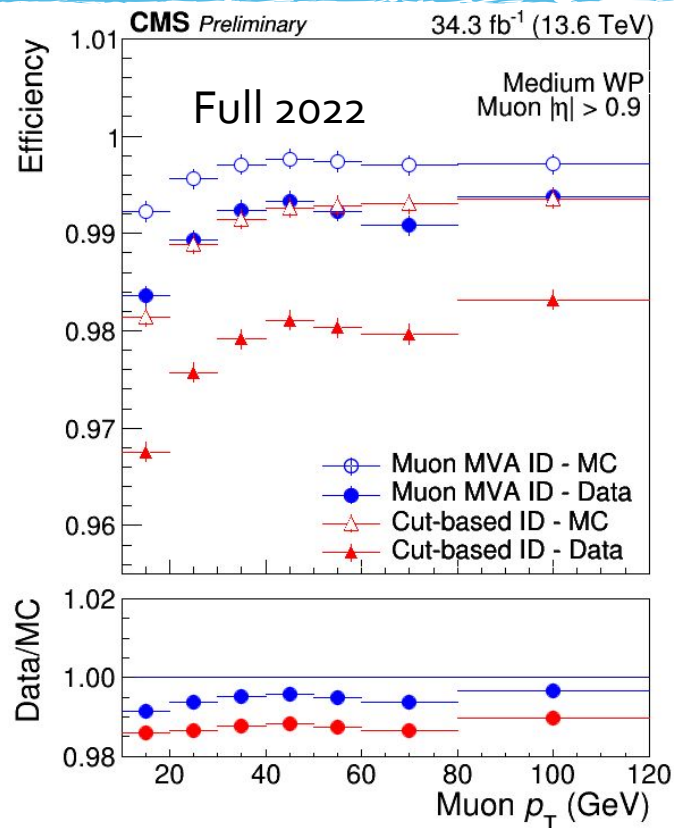
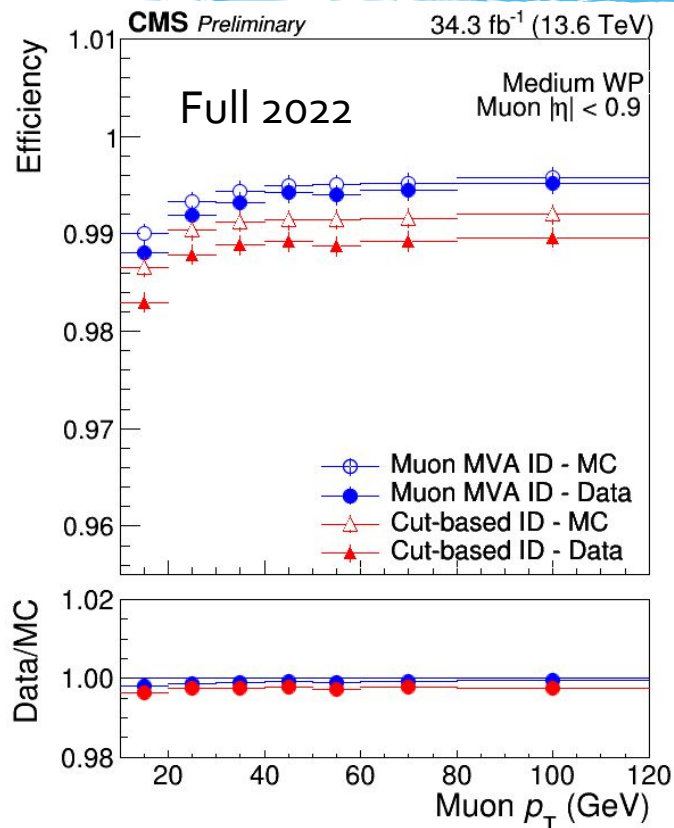


$$Efficiency = \frac{\#Loose\ muons\ passing\ WP\ cut}{\#Loose\ muons}$$

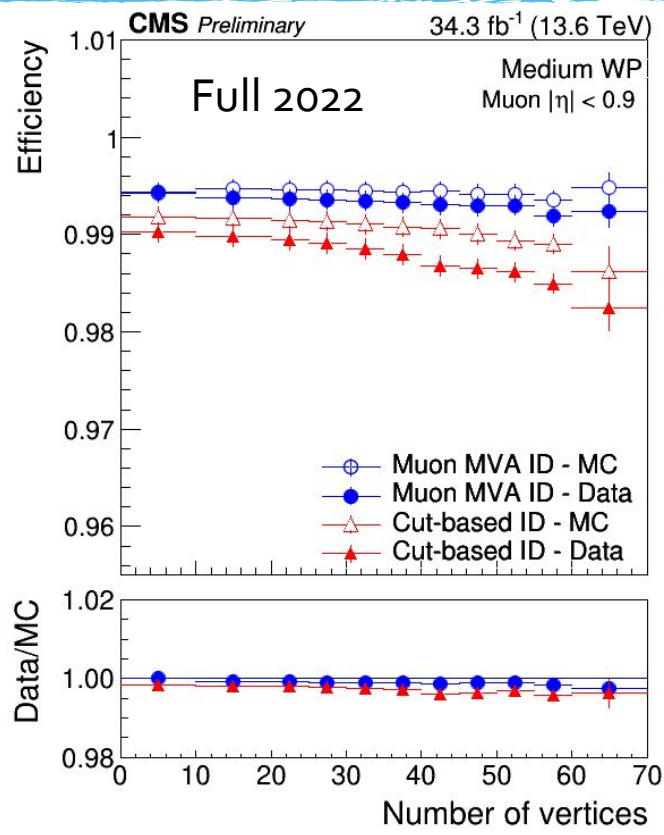
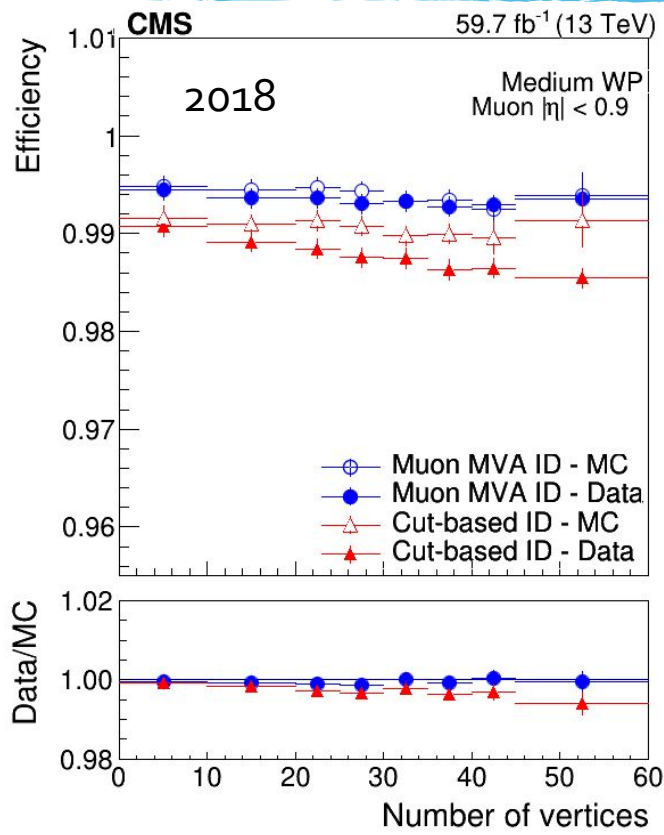
# Efficiency measurement

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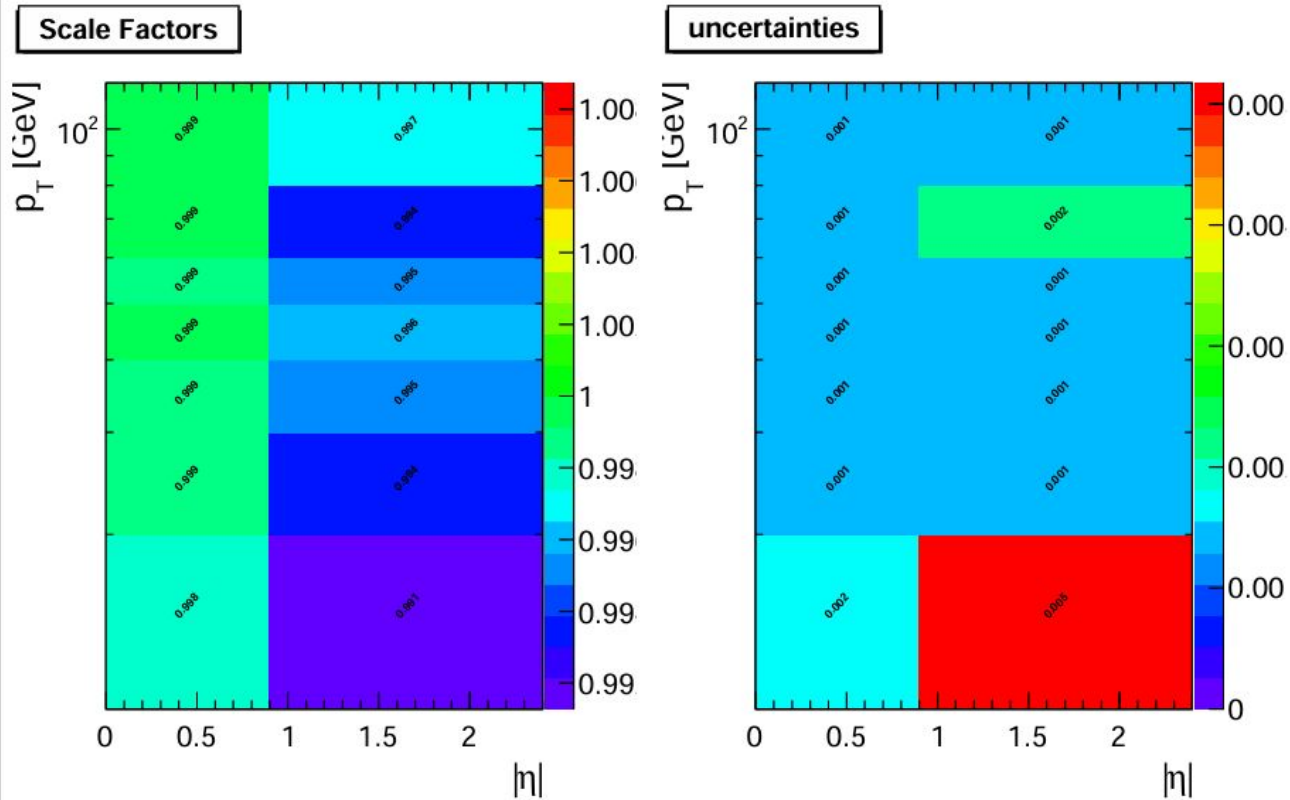
# Results of Run 3 in Medium WP



# Results of Run 2 and Run 3 in Medium WP



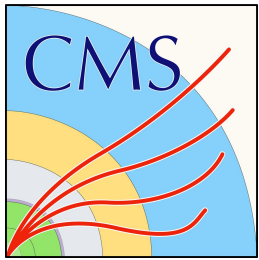
# Scale factors



# Conclusions

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- ❖ Several methods to identify muons in CMS.
- ❖ For medium  $p_T$  range, very high efficiency of cut-based ID and the Muon MVA ID.
- ❖ The Run 2 development has a very good performance in Run 3.
- ❖ Looking forward to see the behaviour of these ID's with the new data (2023 onwards).



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Thank you!

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# Backup

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# Introduction: training of the Muon MVA ID

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Data:

/SingleMuon/Run2018X1-UL2018  
MiniAODv2 GT36-v1/MINIAOD

DY: /DYJetsToLL M-50 TuneCP5  
13TeV amcatnloFXFX-pythia8  
RunIISummer20UL18MiniAODv2  
106X upgrade2018 realistic  
v16-L1v1-v2/MINIAODSIM

Sample for training:

- /TTToSemiLeptonic\_TuneCP5\_13TeV-powheg-pythia8/RunIIAutumn18MiniAOD-102X\_upgrade2018\_realistic\_v15\_ext3-v2/MINIAODSIM

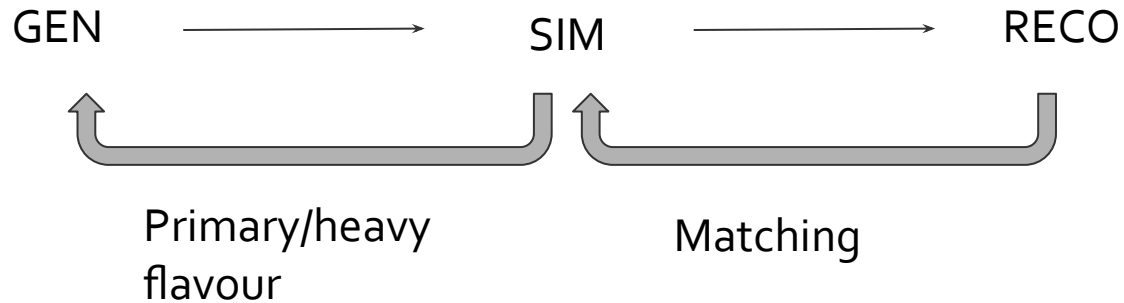
Sample for validation:

- /TTToSemiLeptonic\_TuneCP5\_13TeV-powheg-pythia8/RunIISummer20UL18MiniAODv2\_PUForMUOVal\_106X\_upgrade2018\_realistic\_v16\_L1v1\_ext1-v2/MINIAODSIM

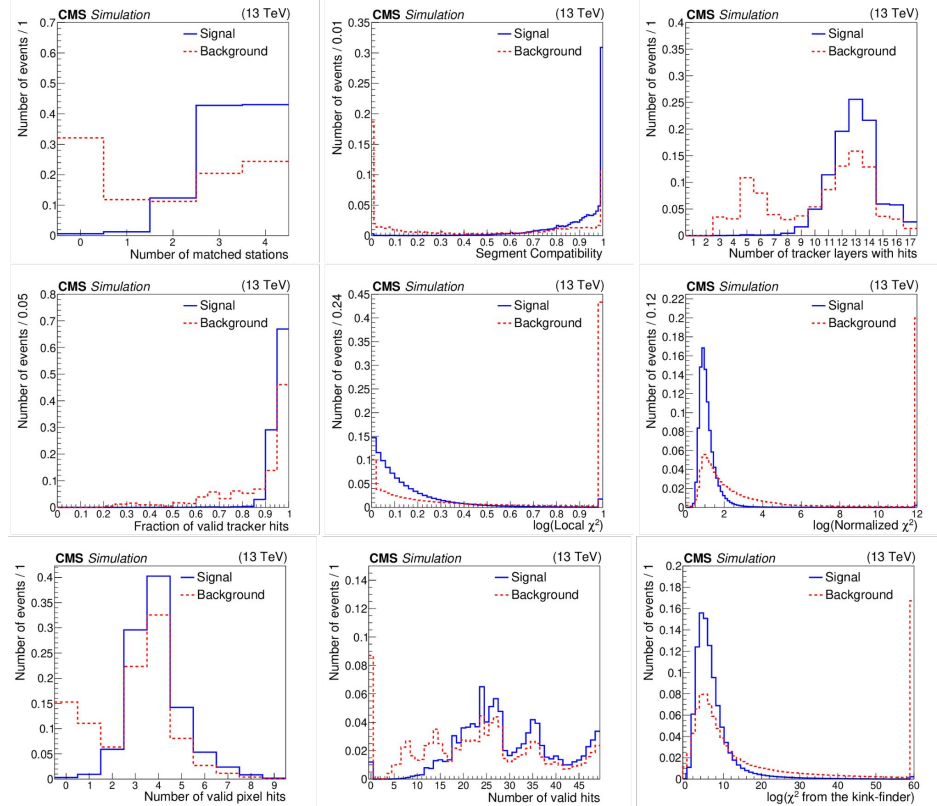
# Background muons

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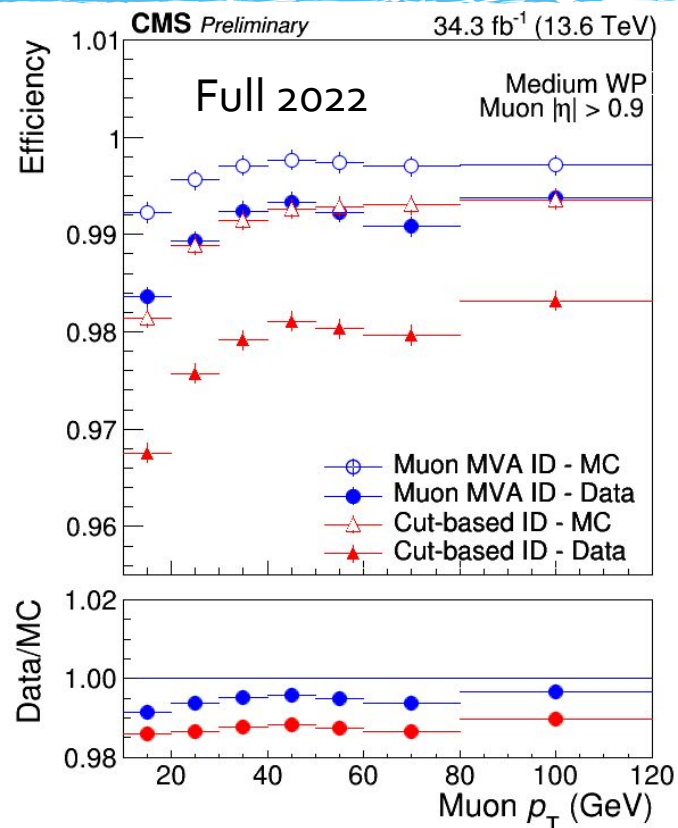
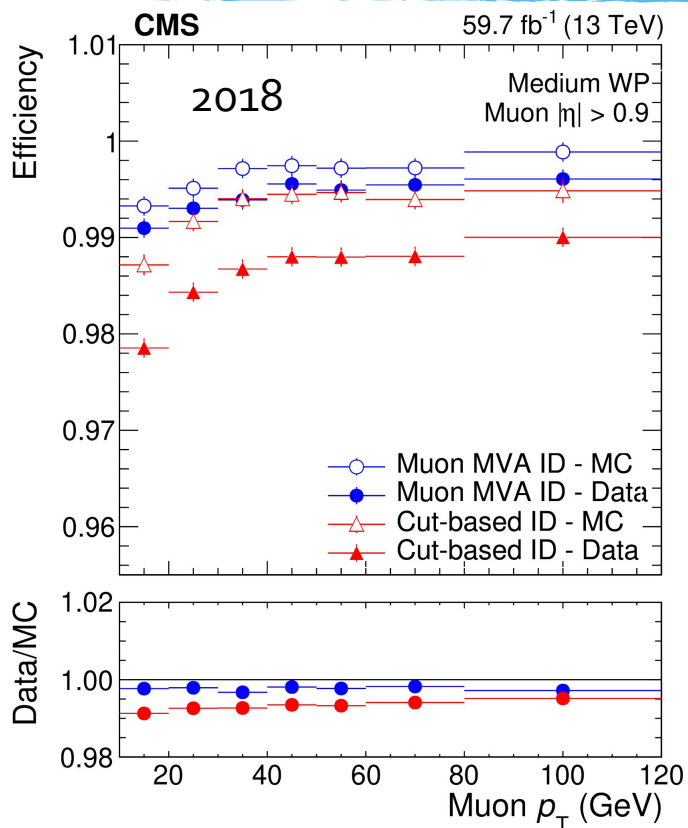
- Decays from kaons and pions → GEN parent light flavour.
- Not matched muons.
- Punchthrough muons → SIM not a muon.



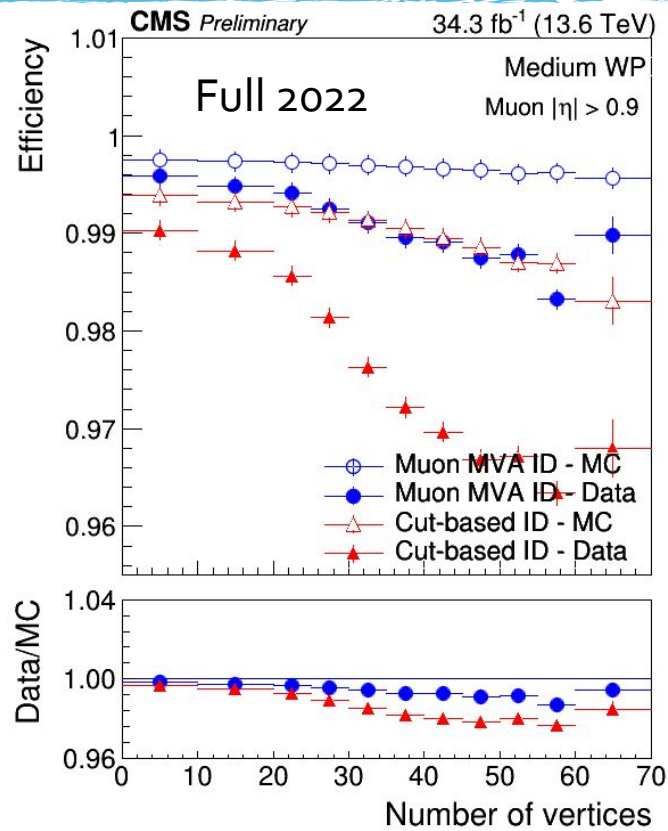
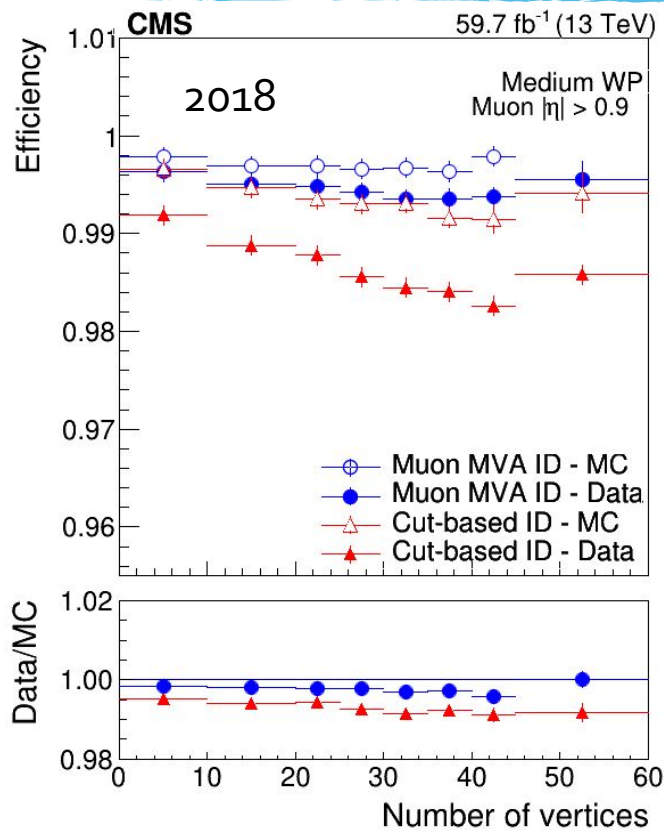
# Input variables for training



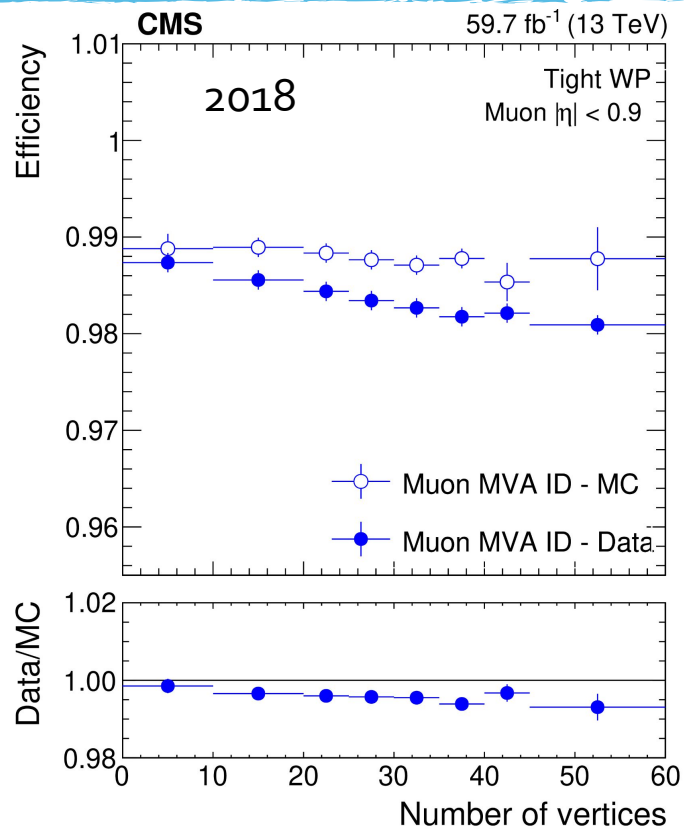
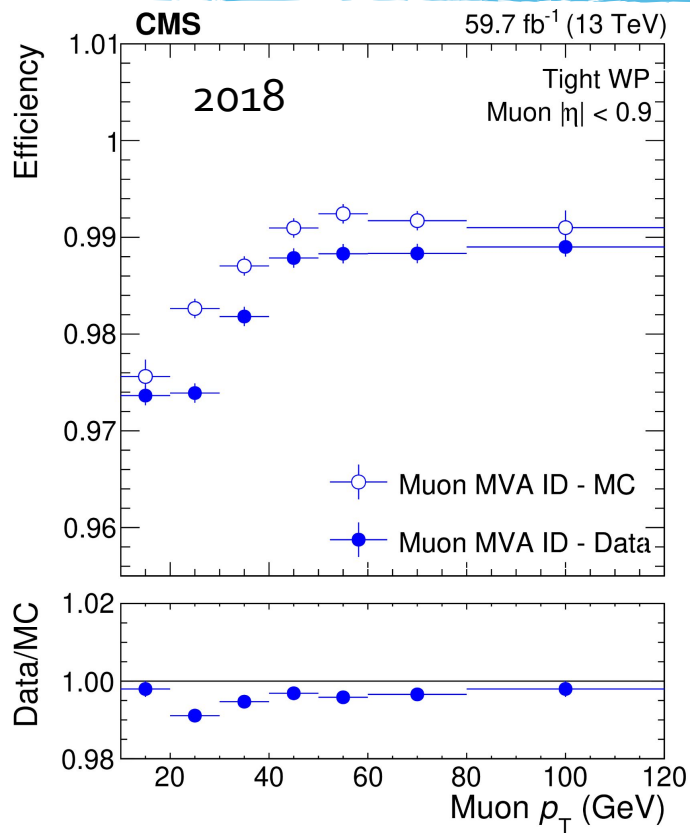
# Results of Run 2 and Run 3 in Medium WP



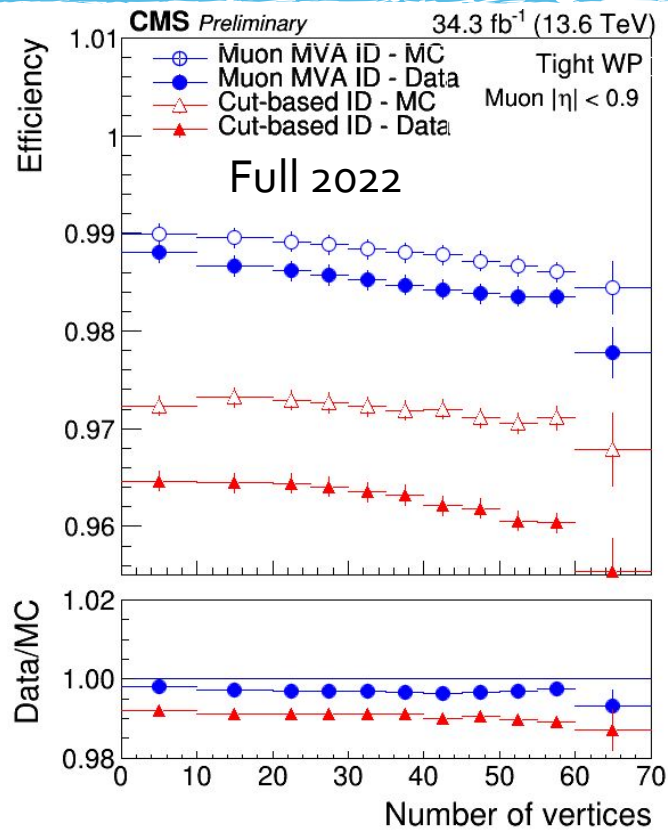
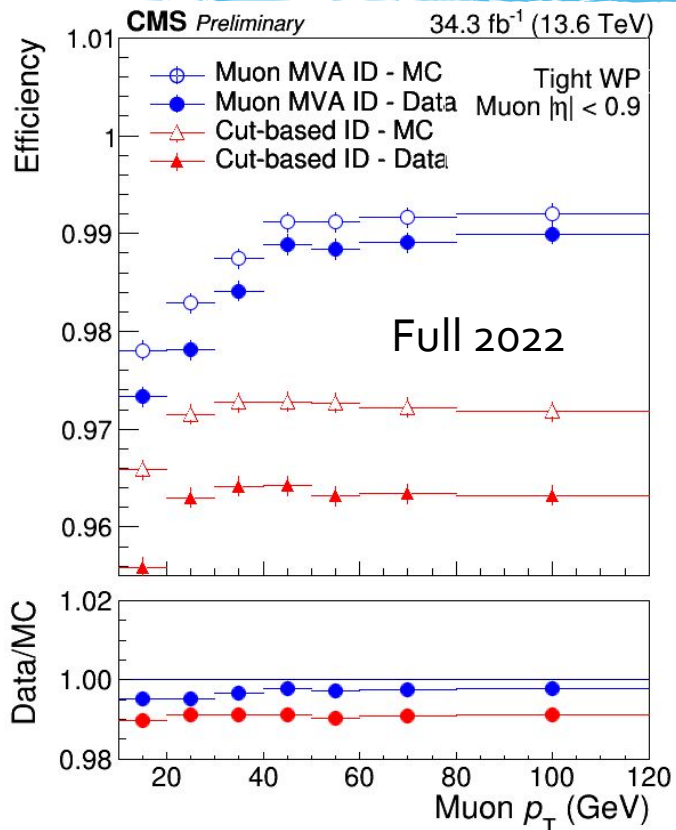
# Results of Run 2 and Run 3 in Medium WP



# Results of Run 2 in Tight WP



# Results of Run 3 in Tight WP





# Fits

\* fit status pass: 4, fail : 4

\* **eff = 0.9880 ± 0.0004**

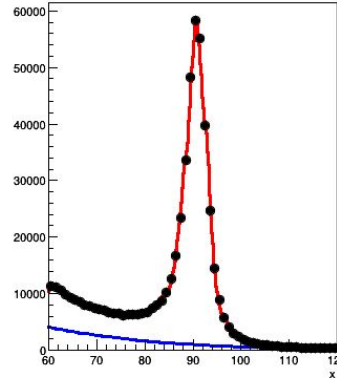
--- parameters  
 - acmsP = 56.995 ± 16.785  
 - betaP = 0.020 ± 0.004  
 - gammaP = 0.062 ± 0.006  
 - meanP = 0.366 ± 0.005  
 - nBkgP = 80916.808 ± 694.519  
 - nSigP = 482214.657 ± 935.132  
 - sigmaP = 0.500 ± 0.001  
 - acmsF = 50.119 ± 0.446  
 - betaF = 0.080 ± 0.007  
 - gammaF = 0.053 ± 0.001  
 - meanF = 0.242 ± 0.075  
 - nBkgF = 22837.004 ± 218.611  
 - nSigF = 5852.848 ± 175.851  
 - sigmaF = 0.402 ± 0.021

\* fit status pass: 4, fail : 4

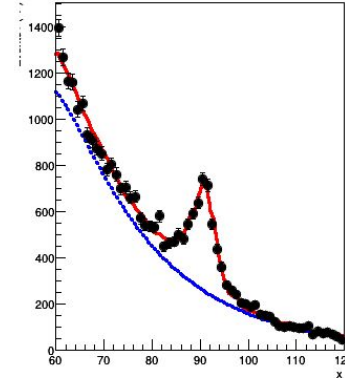
\* **eff = 0.9902 ± 0.0001**

--- parameters  
 - alphaP = 2.579 ± 0.002  
 - meanP = 0.025 ± 0.009  
 - nP = 0.003 ± 0.000  
 - nSigP = 795291.089 ± 1001.759  
 - sigmaP = 0.976 ± 369.234  
 - sigmaP\_2 = 0.697 ± 0.707  
 - alphaF = 2.075 ± 0.015  
 - meanF = 0.194 ± 0.055  
 - nF = 0.001 ± 0.145  
 - nSigF = 7858.574 ± 100.357  
 - sigmaF = 1.444 ± 0.045  
 - sigmaF\_2 = 0.979 ± 2.436

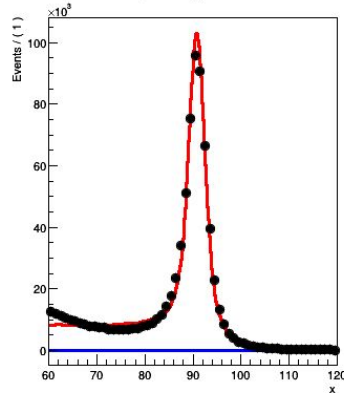
passing probe



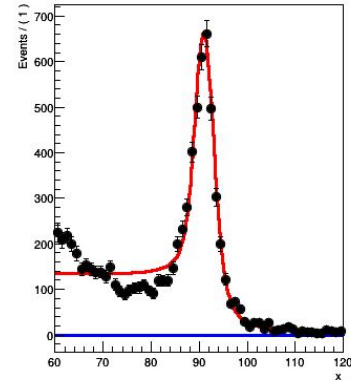
failing probe



passing probe



failing probe



Data

DY

Other fits:

[2022EE](#)